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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provides for continued examination of an utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/829,169
Filing Date	April 9, 2001
First Named Inventor	VINCENT, STEPHEN C.
Group Art Unit	1753
Examiner Name	McDonald, Rodney Glenn
Attorney Docket Number	P04860US0

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. _____
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) 11/15/2002 CNGUYEN 00000035 09829169
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:1801 740.00 OP
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 740.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	JOHN D. GOODHUE	Registration No. (Attorney/Agent)	47.603
Signature	<i>John D. Goodhue</i>	Date	November 13, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	JOHN D. GOODHUE	Date	November 13, 2002
Signature	<i>John D. Goodhue</i>	Date	November 13, 2002

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : VINCENT, STEPHEN C.
SERIAL NO : 09/829,169
FILED : April 9, 2001
TITLE : METHOD FOR TANTALUM PENTOXIDE MOISTURE BARRIER IN
FILM RESISTORS

Grp./A.U. : 1753
Examiner : McDonald, Rodney Glenn
Conf. No. : 8267
Docket No. : P04860US0

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AMENDMENT

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Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated August 22, 2002 and the Advisory Action of
October 2, 2002, please amend the above-identified application as follows:

In the Claims

Please amend claims 1 and 15 as follows:

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

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Date: 11-13-02
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John D. Goodhue
Signature